

## Open and Closed Sets

**Definition** Let  $X$  be a set and  $\mathcal{T} = \{U \mid U \subseteq X\}$  be a collection of subsets of  $X$ . Then  $\mathcal{T}$  is called a **topology** on  $X$  if

- (1)  $\emptyset$  and  $X$  are in  $\mathcal{T}$ .
- (2) The union of the elements of any subcollection of  $\mathcal{T}$  is in  $\mathcal{T}$ .
- (3) The intersection of the elements of any finite subcollection of  $\mathcal{T}$  is in  $\mathcal{T}$ .

A set  $X$  for which a topology  $\mathcal{T}$  has been specified is called a **topological space**.

Properly speaking, a topological space is an ordered pair  $(X, \mathcal{T})$  consisting of a set  $X$  and a topology  $\mathcal{T}$  on  $X$ , but we often omit specific mention of  $\mathcal{T}$  if no confusion will arise.

**Definition** Let  $X$  be a topological space with topology  $\mathcal{T}$ . A subset  $U$  of  $X$  is called an **open set** of  $X$  if  $U \in \mathcal{T}$ , i.e.  $U$  belongs to the collection  $\mathcal{T}$ .

A subset  $F$  of  $X$  is called a **closed set** of  $X$  if  $F^c = X \setminus F \in \mathcal{T}$ , i.e. the complement subset of  $F$  in  $X$  is an open set of  $X$ .

A subset  $N$  is called a **neighborhood of  $p$**  if we can find an open set  $U$  such that

$$p \in U \subseteq N.$$

Note that if  $N$  is an open subset of  $X$ , then it is a neighborhood of each point  $p \in N$ .

**Remark** Let  $X$  be a topological space. Then the following conditions hold:

- (1)  $\emptyset$  and  $X$  are open.
- (2) Arbitrary union of open sets is open.
- (3) Any finite intersection of open sets is open.

## Examples

1. Let  $X = \mathbb{E}^n$  and let  $\mathcal{T} = \{U \mid \forall x \in U, \exists \varepsilon = \varepsilon(x) > 0 \text{ s.t. } B_\varepsilon(x) \subset U\}$ , where  $B_\varepsilon(x) = \{y \in \mathbb{E}^n \mid d(x, y) < \varepsilon\}$  denotes the Euclidean ball with center  $x$  and radius  $\varepsilon$ . Then  $\mathcal{T}$  is a topology on  $\mathbb{E}^n$  and it is called the usual or standard topology on  $\mathbb{E}^n$ .
2. Let  $X$  be a set and let  $\mathcal{T} = \mathcal{P}(X) = \{U \mid U \subset X\}$  be the collection of all subsets of  $X$ , called the **power set** of  $X$ . Then  $\mathcal{T}$  is a topology on  $X$  and it is called the **discrete topology** on  $X$ .
3. Let  $X$  be a set and let  $\mathcal{T} = \{\emptyset, X\}$  consist of  $\emptyset$  and  $X$  only. Then  $\mathcal{T}$  is a topology on  $X$  and it is called the **trivial topology** on  $X$ .
4. Let  $X = \mathbb{R}$  and let

$$\mathcal{T}_f = \{U \mid X \setminus U \text{ is either finite subset or all of } X\}.$$

Then  $\mathcal{T}_f$  is a topology on  $X$ , called the **the finite complement topology**.

**Remark** Let  $X$  be a topological space. Then the following conditions hold:

- (1)  $\emptyset$  and  $X$  are closed.
- (2) Arbitrary intersection of closed sets is closed.

(3) Any finite union of closed sets is closed.

**Definition** Let  $X$  be a topological space with topology  $\mathcal{T}$  and let  $Y$  be a subset of  $X$ . Then the collection

$$\mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}\}$$

is a topology on  $Y$ , called the **subspace or induced topology**. With this topology,  $Y$  is called a **subspace** of  $X$ ; its open sets consists of all intersections of open sets of  $X$  with  $Y$ .

**Example** Let  $X = \mathbb{R}$  be the real line with the usual topology  $\mathcal{T} = \{(a, b) \mid a < b \in \mathbb{R}\} \cup \{\emptyset, \mathbb{R}\}$  and let  $Y = [0, 1]$ . Then the subspace topology  $\mathcal{T}_Y$  consists a set of the following types:

$$(a, b) \cap Y = \begin{cases} (a, b) & \text{if } a \text{ and } b \text{ are in } Y \\ [0, b) & \text{if only } b \text{ is in } Y \\ (a, 1] & \text{if only } a \text{ is in } Y \\ Y \text{ or } \emptyset & \text{if neither } a \text{ nor } b \text{ is in } Y \end{cases}$$

**Example** Let  $X = \mathbb{R}$  be the real line with the usual topology  $\mathcal{T} = \{(a, b) \mid a < b \in \mathbb{R}\} \cup \{\emptyset, \mathbb{R}\}$  and let  $Y = [0, 1) \cup \{2\}$ . Then the one-point set  $\{2\}$  is open in the subspace topology  $\mathcal{T}_Y$ .

**Definition** Let  $A$  be a subset of a topological space  $X$ . A point  $p$  of  $X$  is called a **limit point (or accumulation point)** of  $A$  if every neighborhood  $N$  of  $p$  contains at least one point of  $A \setminus \{p\}$ , i.e.

$$N \cap A \setminus \{p\} \neq \emptyset.$$

Let  $A'$  denote the set of limit points of  $A$ . Note that a limit point of  $A$  may not be a point in  $A$ .

**Examples**

1. Let  $X = \mathbb{R}$  and let  $A = \{\frac{1}{n} \mid n \in \mathbb{N}\}$ . Then  $A$  has exactly one limit point, namely the origin.
2. Let  $X = \mathbb{R}$  and let  $A = [0, 1)$ . Then  $[0, 1]$  is the set of limit points of  $A$ .
3. Let  $X = \mathbb{E}^3$  and let  $A = \{(x, y, z) \mid x, y, z \in \mathbb{Q}\}$ . Then  $\mathbb{E}^3$  is the set of limit points of  $A$ .
4. Let  $X = \mathbb{E}^3$  and let  $A = \{(x, y, z) \mid x, y, z \in \mathbb{Z}\}$ . Then  $A$  does not have any limit points.
5. Let  $X = \mathbb{R}$  with the finite complement topology  $\mathcal{T}_f$ . If we take  $A$  to be an infinite subset of  $X$ , then every point of  $X$  is a limit point of  $A$ . On the other hand a finite subset of  $X$  has no limit points in this topology.

**Theorem** A set is closed if and only if it contains all of its limit points.

**Proof** If  $A$  is closed, then  $X \setminus A$  is open. Since

$$A \cap (X \setminus A) \setminus \{p\} = \emptyset \quad \forall p \in X \setminus A,$$

$X \setminus A$  does not contain any limit point of  $A$ .

Therefore  $A$  contains all of its limit points.

Conversely, suppose  $A$  contains all of its limit points and let  $p \in X \setminus A$ . Since  $p$  is not a limit point of  $A$ , there is a neighborhood  $N$  of  $p$  such that

$$N \cap A = \emptyset \implies p \in N \subset X \setminus A$$

This implies that  $X \setminus A$  is a neighborhood of each of its points and consequently open. Therefore  $A$  is closed.

**Definition** The union of  $A$  and all its limit points is called the **closure** of  $A$  and is written  $\bar{A} = A \cup A'$ .

**Theorem** The closure of  $A$  is the smallest closed set containing  $A$ , in other words the intersection of all closed sets which contain  $A$ .

**Proof** For each  $p \in X \setminus \bar{A}$ , there exists an open neighborhood  $U$  of  $p$  such that

$$U \cap A = \emptyset.$$

Since  $U$  is an open neighborhood of each of its points,  $U$  cannot contain any of the limit points of  $A$  either, i.e.

$$U \cap A' = \emptyset \implies U \cap \bar{A} = \emptyset \implies p \in U \subseteq X \setminus \bar{A} \implies X \setminus \bar{A} \text{ is open and } \bar{A} \text{ is closed}$$

Let  $B$  be a closed set which contains  $A$ . Then

$$B' \subseteq B \implies \bar{B} = B \cup B' \subseteq B \subseteq \bar{B} \implies B = \bar{B}$$

and

$$A' \subseteq B' \implies \bar{A} = A \cup A' \subseteq B \cup B' = \bar{B} = B \implies \bar{A} \subseteq B \implies \bar{A} \subseteq \bigcap_{A \subseteq B \text{ is closed}} B$$

Since  $\bar{A}$  is closed and  $A \subseteq \bar{A}$ , this implies that  $\bar{A} \in \{B \mid B \text{ is closed containing } A\}$  and thus

$$\bigcap_{A \subseteq B \text{ is closed}} B \subseteq \bar{A} \implies \bar{A} = \bigcap_{A \subseteq B \text{ is closed}} B.$$

**Corollary** A set is closed if and only if it is equal to its closure.

**Definitions** Let  $A$  be a subset of a topological space  $X$ . The **interior** of  $A$ , usually denoted  $\overset{\circ}{A}$ , is the union of all open sets contained in  $A$ , i.e.

$$\overset{\circ}{A} = \bigcup_{U \text{ is open and } U \subseteq A} U = \text{the largest open set contained in } A$$

The **frontier (or boundary)** of  $A$ , usually denoted  $\partial A$ , is the intersection of the closure of  $A$  with the closure of  $X \setminus A$ , i.e.

$$\partial A = \bar{A} \cap \overline{X \setminus A}$$

**Definition** If  $X$  is a set, a **basis** for a topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  (called **basis elements**) such that

- (1) For each  $x \in X$ , there is at least one basis element  $B$  containing  $x \implies \bigcup_{B \in \mathcal{B}} B = X$ .
- (2) If  $x$  belongs to the intersection of two basis elements  $B_1$  and  $B_2$ , then there is a basis element  $B_3$  containing  $x$  such that

$$B_3 \subseteq B_1 \cap B_2$$

i.e. For any  $B_1, B_2 \in \mathcal{B}$  satisfy  $B_1 \cap B_2 \neq \emptyset$ , there is a  $B_3 \in \mathcal{B}$  such that  $B_3 \subseteq B_1 \cap B_2$ .

**Definition** If  $\mathcal{B}$  is a basis for a topology on  $X$ , the **topology  $\mathcal{T}$  generated by  $\mathcal{B}$**  is described as follows: A subset  $U$  of  $X$  is said to be open in  $X$  (that is, to be an element of  $\mathcal{T}$ ) if for each  $x \in U$ , there is a basis element  $B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq U$ , i.e.

$$\mathcal{T} = \{U \mid \forall x \in U, \exists B \in \mathcal{B} \text{ s.t. } x \in B \text{ and } B \subseteq U\}$$

**Remark** Note that each element of  $\mathcal{B}$  is open in  $X$  under this definition, so that  $\mathcal{B} \subset \mathcal{T}$ .

It is easy to check that the collection  $\mathcal{T}$  generated by the basis  $\mathcal{B}$  is, in fact, a topology on  $X$ .

- $\emptyset \in \mathcal{T}$  since it satisfies the defining condition of openness vacuously.
- $X \in \mathcal{T}$  since for each  $x \in X$  there is a basis element containing  $x$  and contained in  $X$ .
- Let  $\{U_\alpha\}_{\alpha \in J}$  be a collection of elements of  $\mathcal{T}$  and let  $U = \bigcup_{\alpha \in J} U_\alpha$ . Then  $U \in \mathcal{T}$  since for each  $x \in U$ , there is an index  $\alpha$  such that  $x \in U_\alpha$  and since  $U_\alpha \in \mathcal{T}$  there is a basis element  $B$  such that

$$x \in B \subseteq U_\alpha \implies x \in B \text{ and } B \subseteq U \implies U \in \mathcal{T}$$

- Let  $\{U_i\}_{1 \leq i \leq n}$  be a finite collection of elements of  $\mathcal{T}$  and let  $U = \bigcap_{i=1}^n U_i$ . Then  $U \in \mathcal{T}$ .

**Theorem** Let  $\beta$  be a nonempty collection of subsets of a set  $X$ . If the intersection of any finite members of  $\beta$  is always in  $\beta$ , and if  $\cup \beta = X$ , then  $\beta$  is a basis for a topology on  $X$ .

**Proof** Take the obvious candidate, namely the collection of all unions of members of  $\beta$  as the open sets, then check the requirements for a topology.

### Continuous Functions

**Definition** Let  $X$  and  $Y$  be topological spaces. A function  $f : X \rightarrow Y$  is **continuous** on  $X$  if for each point  $x$  of  $X$  and each neighborhood  $N$  of  $f(x)$  in  $Y$  the set  $f^{-1}(N)$  is a neighborhood of  $x$  in  $X$ .

A continuous function is very often called a **map** for short (in this book).

A function  $h : X \rightarrow Y$  is called a **homeomorphism** if it is one-to-one, onto, continuous and has a continuous inverse  $h^{-1} : Y \rightarrow X$ . When such a function exists,  $X$  and  $Y$  are called **homeomorphic (or topologically equivalent)** spaces.

**Theorem** Let  $X$  and  $Y$  be topological spaces. A function  $f : X \rightarrow Y$  is continuous if and only if the inverse image of each open set of  $Y$  is open in  $X$ .

**Proof**

( $\implies$ ) If  $f : X \rightarrow Y$  is continuous and if  $O$  is an open subset of  $Y$ , then  $O$  is a neighborhood of each of its points and, by the definition of continuity,  $f^{-1}(O)$  must be a neighborhood of each of its points in  $X$ . Hence  $f^{-1}(O)$  is an open set in  $X$ .

( $\impliedby$ ) For each point  $x$  of  $X$  and each neighborhood  $N$  of  $f(x)$  in  $Y$ , there is an open subset  $O$  in  $Y$  such that

$$f(x) \in O \subseteq N \quad \text{and} \quad f^{-1}(O) \text{ is open in } X.$$

Since

$$x \in f^{-1}(O) \subseteq f^{-1}(N),$$

$f^{-1}(N)$  is a neighborhood of  $x$ ,  $f$  is continuous at  $x$ . Since  $f$  is continuous for each  $x \in X$ ,  $f$  is continuous on  $X$ .

**Example** Let  $X = [0, 1) \subset \mathbb{R}$ ,  $Y = C \subset \mathbb{C}$  be the unit circle in the complex plane  $\mathbb{C}$  and let  $f : [0, 1) \rightarrow C$  be defined by

$$f(x) = e^{2\pi ix} = \cos 2\pi x + i \sin 2\pi x \quad \text{for each } x \in [0, 1).$$

Note that  $f$  is continuous, one-to-one, onto, but its inverse  $f^{-1} : C \rightarrow [0, 1)$  is not continuous (e.g.  $(f^{-1})^{-1}([0, 1/2)) = \{p \in C \mid f^{-1}(p) \in [0, 1/2)\}$  is not open in  $C$  while  $[0, 1/2)$  is open in  $[0, 1)$ ).

**Theorem** Let  $X, Y$  and  $Z$  be topological spaces. If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous functions, then the composition  $g \circ f : X \rightarrow Z$  is a continuous function.

**Proof** Let  $O$  be an open set in  $Z$ . Since

$$(g \circ f)^{-1}(O) = f^{-1} g^{-1}(O)$$

and  $g^{-1}(O)$  is open in  $Y$  because  $g$  is continuous, so  $f^{-1} g^{-1}(O)$  must be open in  $X$  by the continuity of  $f$ . Therefore  $g \circ f : X \rightarrow Z$  is continuous.

**Theorem** Suppose  $f : X \rightarrow Y$  is continuous, and let  $A \subseteq X$  have the subspace topology. Then the restriction  $f|_A : A \rightarrow Y$  is continuous.

**Proof** Let  $O$  be an open set in  $Y$  and notice that

$$(f|_A)^{-1}(O) = A \cap f^{-1}(O).$$

Since  $f$  is continuous,  $f^{-1}(O)$  is open in  $X$ . Therefore  $(f|_A)^{-1}(O)$  is open in the subspace topology on  $A$ , and the continuity of  $f|_A$  follows from the preceding Theorem.

**Definition** The map  $1_X : X \rightarrow X$ , defined by  $1_X(x) = x$  for each  $x \in X$ , is called the **identity map of  $X$** . If we restrict  $1_X$  to a subspace  $A$  of  $X$  we obtain the **inclusion map  $i : A \rightarrow X$** .

**Theorem** The following are equivalent:

- (a)  $f : X \rightarrow Y$  is continuous.
- (b) If  $\beta$  is a base for the topology of  $Y$ , the inverse image of every member of  $\beta$  is open in  $X$ .
- (c)  $f(\bar{A}) \subseteq \overline{f(A)}$  for any subset  $A$  of  $X$ .
- (d)  $\overline{f^{-1}(B)} \subseteq f^{-1}(\bar{B})$  for any subset  $B$  of  $Y$ .
- (e) The inverse image of each closed set in  $Y$  is closed in  $X$ .

**Proof**

[(a)  $\Rightarrow$  (b)] For each  $B \in \beta$ , since  $B$  is an open set in the topology generated by  $\beta$ ,  $f^{-1}(B)$  is open in  $X$ .

[(b)  $\Rightarrow$  (c)] Let  $A$  be a subset of  $X$ . Since  $\bar{A} = A \cup A'$  and  $f(A) \subseteq \overline{f(A)} = f(A) \cup f(A)'$ , it suffices to show that if  $x \in \bar{A} \setminus A$  and if  $f(x) \notin f(A)$ , then  $f(x) \in f(A)'$ .

Suppose that  $x \in \bar{A} \setminus A$ ,  $f(x) \notin f(A)$  and  $N$  is a neighborhood of  $f(x)$  in  $Y$ . Since  $\beta$  is a base for the topology of  $Y$ , there exists a basis element (an open subset)  $B$  in  $\beta$  such that

$$f(x) \in B \subseteq N \implies x \in f^{-1}(B) \subseteq f^{-1}(N).$$

Assuming (b), the set  $f^{-1}(B)$  is open in  $X$  and is therefore a neighborhood of  $x$ . Also since

$$x \in A' \implies f^{-1}(B) \cap A \neq \emptyset \implies B \cap f(A) \neq \emptyset$$

and since

$$B \cap f(A) \subseteq N \cap f(A) \implies N \cap f(A) \setminus \{f(x)\} = N \cap f(A) \neq \emptyset \implies f(x) \in f(A)'$$

This completes the proof of (c).

[(c)  $\Rightarrow$  (d)] For any subset  $B$  of  $Y$ , since  $f^{-1}(B)$  is a subset of  $X$  and by assuming (c), we have

$$f\left(\overline{f^{-1}(B)}\right) \subseteq \overline{f(f^{-1}(B))} = \bar{B} \implies \overline{f^{-1}(B)} \subseteq f^{-1}(\bar{B})$$

[(d)  $\Rightarrow$  (e)] If  $B$  is a closed subset of  $Y$ , since  $\bar{B} = B$  and by assuming (d), we have

$$\overline{f^{-1}(B)} \subseteq f^{-1}(\bar{B}) = f^{-1}(B) \subseteq \overline{f^{-1}(B)} \implies f^{-1}(B) = \overline{f^{-1}(B)}$$

and thus  $f^{-1}(B)$  is closed in  $X$ .

[(e)  $\Rightarrow$  (a)] For each open set  $O$  of  $Y$ , since

$$X \setminus f^{-1}(O) = \{x \in X \mid f(x) \notin O\} = \{x \in X \mid f(x) \in Y \setminus O\} = f^{-1}(Y \setminus O),$$

$Y \setminus O$  is closed in  $Y$  and by assuming (e), we have  $f^{-1}(Y \setminus O) = X \setminus f^{-1}(O)$  is closed in  $X$  and thus  $f^{-1}(O)$  is open in  $X$ . This shows that  $f : X \rightarrow Y$  is continuous.